

October 01, 2022

ARN-0930
SHREE SIDVIN FINANCIAL SERVICES & INVEST. PVT. LTD.
SHREE SIDVIN FINANCIAL SERVICES & INVEST
PVT LTD NO: 55, S.V COMPLEX, 1ST FLR, BANGALORE - 560004

Dear Sir / Madam,

Re : Preferred Distribution Agreement - 01-Oct-2022 - 31-Dec-2022

The specifics of the fee structure arrangement between Sundaram Mutual Fund and SHREE SIDVIN FINANCIAL SERVICES & INVEST. PVT. LTD. for the period 01-Oct-2022 - 31-Dec-2022 is as follows

i) Campaign Funds – Lumpsum :

Fee Structure - T30 Cities

Product	Year-1 Trail (%)	Year-2 Trail (%)	Year-3 Trail (%)	Year-4 Onwards (%)
Sundaram Equity Savings Fund	1.85	1.85	1.85	1.85
Sundaram Dividend Yield Fund	1.85	1.85	1.85	1.85
Sundaram Infrastructure Advantage Fund	1.60	1.60	1.60	1.60
Sundaram Fin. Services Opps Fund	1.60	1.60	1.60	1.60
Sundaram Global Brand Fund	1.50	1.50	1.50	1.50
Sundaram Focused Fund	1.35	1.35	1.35	1.35
Sundaram Balanced Advantage Fund	1.35	1.35	1.35	1.35
Sundaram Tax Savings Fund	1.35	1.35	1.35	1.35
Sundaram Multi Cap Fund	1.35	1.35	1.35	1.35
Sundaram Flexi Cap Fund	1.35	1.35	1.35	1.35
Sundaram Rural And Consumption Fund	1.35	1.35	1.35	1.35
Sundaram Services Fund	1.20	1.20	1.20	1.20
Sundaram Large Cap Fund	1.20	1.20	1.20	1.20
Sundaram Aggressive Hybrid	1.20	1.20	1.20	1.20
Sundaram Small Cap Fund	1.20	1.20	1.20	1.20

ii) Other Equity Funds - Lumpsum:

Product	Year-1 Trail (%)	Year-2 Trail (%)	Year-3 Trail (%)	Year-4 Onwards (%)
Other Equity Funds				
Sundaram Large And Mid Cap Fund	1.10	1.10	1.10	1.10
Sundaram Mid Cap Fund	1.00	1.00	1.00	1.00
Sundaram Smart Nifty 100 Equal Weight Fund	0.55	0.55	0.55	0.55
Sundaram Arbitrage Fund	0.55	0.55	0.55	0.55

iii) Campaign Funds – Lumpsum :

Fee Structure - B30 Cities

Product	ARI (%)	Year-1 Trail (%)	Year-2 Trail (%)	Year-3 Trail (%)	Year-4 Onwards (%)
Sundaram Equity Savings Fund	2.00	1.85	1.85	1.85	1.85
Sundaram Dividend Yield Fund	2.00	1.85	1.85	1.85	1.85
Sundaram Infrastructure Advantage Fund	2.00	1.60	1.60	1.60	1.60
Sundaram Fin. Services Opps Fund	2.00	1.60	1.60	1.60	1.60
Sundaram Global Brand Fund	2.00	1.50	1.50	1.50	1.50
Sundaram Focused Fund	2.00	1.35	1.35	1.35	1.35
Sundaram Balanced Advantage Fund	2.00	1.35	1.35	1.35	1.35
Sundaram Tax Savings Fund	2.00	1.35	1.35	1.35	1.35
Sundaram Multi Cap Fund	2.00	1.35	1.35	1.35	1.35
Sundaram Flexi Cap Fund	1.65	1.35	1.35	1.35	1.35
Sundaram Rural And Consumption Fund	2.00	1.35	1.35	1.35	1.35
Sundaram Services Fund	2.00	1.20	1.20	1.20	1.20
Sundaram Large Cap Fund	2.00	1.20	1.20	1.20	1.20
Sundaram Aggressive Hybrid	2.00	1.20	1.20	1.20	1.20
Sundaram Small Cap Fund	2.00	1.20	1.20	1.20	1.20

iv) Other Equity Funds - Lumpsum:

Product	ARI (%)	Year-1 Trail (%)	Year-2 Trail (%)	Year-3 Trail (%)	Year-4 Onwards (%)
Other Equity Funds					
Sundaram Large And Mid Cap Fund	2.00	1.10	1.10	1.10	1.10
Sundaram Mid Cap Fund	2.00	1.00	1.00	1.00	1.00
Sundaram Smart Nifty 100 Equal Weight Fund	0.00	0.55	0.55	0.55	0.55
Sundaram Arbitrage Fund	0.00	0.55	0.55	0.55	0.55

v) Campaign Funds –SIP & STP:
Fee Structure - T30 Cities

Product	Year-1 Trail (%)	Year-2 Trail (%)	Year-3 Trail (%)	Year-4 Onwards (%)
Sundaram Equity Savings Fund	1.70	1.70	1.70	1.70
Sundaram Dividend Yield Fund	1.70	1.70	1.70	1.70
Sundaram Infrastructure Advantage Fund	1.45	1.45	1.45	1.45
Sundaram Fin. Services Opps Fund	1.45	1.45	1.45	1.45
Sundaram Global Brand Fund	1.35	1.35	1.35	1.35
Sundaram Focused Fund	1.20	1.20	1.20	1.20
Sundaram Balanced Advantage Fund	1.20	1.20	1.20	1.20
Sundaram Tax Savings Fund	1.20	1.20	1.20	1.20
Sundaram Multi Cap Fund	1.20	1.20	1.20	1.20
Sundaram Flexi Cap Fund	1.20	1.20	1.20	1.20
Sundaram Rural And Consumption Fund	1.20	1.20	1.20	1.20
Sundaram Services Fund	1.05	1.05	1.05	1.05
Sundaram Large Cap Fund	1.05	1.05	1.05	1.05
Sundaram Aggressive Hybrid	1.05	1.05	1.05	1.05
Sundaram Small Cap Fund	1.05	1.05	1.05	1.05

vi) Other Equity Funds – SIP & STP:

Product	Year-1 Trail (%)	Year-2 Trail (%)	Year-3 Trail (%)	Year-4 Onwards (%)
Other Equity Funds				
Sundaram Large And Mid Cap Fund	0.95	0.95	0.95	0.95
Sundaram Mid Cap Fund	0.85	0.85	0.85	0.85
Sundaram Smart Nifty 100 Equal Weight Fund	0.55	0.55	0.55	0.55
Sundaram Arbitrage Fund	0.55	0.55	0.55	0.55

vii) Campaign Funds – SIP & STP:
Fee Structure - B30 Cities

Product	ARI (%)	Year-1 Trail (%)	Year-2 Trail (%)	Year-3 Trail (%)	Year-4 Onwards (%)
Sundaram Equity Savings Fund	2.00	1.70	1.70	1.70	1.70
Sundaram Dividend Yield Fund	2.00	1.70	1.70	1.70	1.70
Sundaram Infrastructure Advantage Fund	2.00	1.45	1.45	1.45	1.45
Sundaram Fin. Services Opps Fund	2.00	1.45	1.45	1.45	1.45
Sundaram Global Brand Fund	2.00	1.35	1.35	1.35	1.35
Sundaram Focused Fund	2.00	1.20	1.20	1.20	1.20
Sundaram Balanced Advantage Fund	2.00	1.20	1.20	1.20	1.20
Sundaram Tax Savings Fund	2.00	1.20	1.20	1.20	1.20
Sundaram Multi Cap Fund	2.00	1.20	1.20	1.20	1.20
Sundaram Flexi Cap Fund	1.65	1.20	1.20	1.20	1.20
Sundaram Rural And Consumption Fund	2.00	1.20	1.20	1.20	1.20
Sundaram Services Fund	2.00	1.05	1.05	1.05	1.05
Sundaram Large Cap Fund	2.00	1.05	1.05	1.05	1.05
Sundaram Aggressive Hybrid	2.00	1.05	1.05	1.05	1.05
Sundaram Small Cap Fund	2.00	1.05	1.05	1.05	1.05

viii) Other Equity Funds – SIP & STP:

Product	ARI (%)	Year-1 Trail (%)	Year-2 Trail (%)	Year-3 Trail (%)	Year-4 Onwards (%)
Other Equity Funds					
Sundaram Large And Mid Cap Fund	2.00	0.95	0.95	0.95	0.95
Sundaram Mid Cap Fund	2.00	0.85	0.85	0.85	0.85
Sundaram Smart Nifty 100 Equal Weight Fund	0.00	0.55	0.55	0.55	0.55
Sundaram Arbitrage Fund	0.00	0.55	0.55	0.55	0.55

Other Fixed Income Schemes - Lumpsum & Systematic Investments (T30 & B30 Cities)

Product	ARI (%)	Year-1 Trail (%)	Year-2 Trail (%)	Year-3 Trail (%)	Year-4 Onwards (%)
Hybrid Funds					
Sundaram Debt Oriented Hybrid Fund	1.50	1.20	1.20	1.20	1.20
Debt Funds					
Sundaram Medium Term Bond Fund	1.50	1.20	1.20	1.20	1.20
Sundaram Short Duration Fund	1.50	0.90	0.90	0.90	0.90
Sundaram Corporate Bond Fund	1.50	0.20	0.20	0.20	0.20
Sundaram Banking & Psu Debt Fund	1.50	0.20	0.20	0.20	0.20
Sundaram Money Market Fund	0.00	0.10	0.10	0.10	0.10
Liquid & Short Term Funds					
Sundaram Liquid Fund	0.00	0.15	0.15	0.15	0.15
Sundaram Low Duration Fund	1.50	0.90	0.90	0.90	0.90
Sundaram Overnight Fund	0.00	0.10	0.10	0.10	0.10
Sundaram Ultra Short Duration Fund	1.50	1.25	1.25	1.25	1.25

Additional Incentives on fresh Systematic Investments:

a) SIP : We are happy to offer additional trail on new SIP's of monthly frequency and value greater than of Rs. 2000/- as follows:

Fund Name	Min. 36 Months	Min. 60 Months
Sundaram Services Fund	0.15	0.17
Sundaram Large And Mid Cap Fund	0.15	0.17
Sundaram Large Cap Fund	0.15	0.17
Sundaram Mid Cap Fund	0.15	0.17
Sundaram Flexi Cap Fund	0.15	0.17
Sundaram Multi Cap Fund	0.15	0.17
Sundaram Small Cap Fund	0.15	0.17
Sundaram Focussed Fund	0.15	0.17
Sundaram Fin. Services Opps Fund	0.15	0.17
Sundaram Balanced Advantage Fund	0.15	0.17
Sundaram Aggressive Hybrid	0.15	0.17
Sundaram Rural And Consumption Fund	0.15	0.17
Sundaram Tax Savings Fund	0.15	0.17
Sundaram Infrastructure Advantage Fund	0.15	0.17
Sundaram Equity Savings Fund	0.15	0.17
Sundaram Global Brand Fund	0.15	0.17
Sundaram Dividend Yield Fund	0.15	0.17

SIP's of min. 36 monthly instalments : Additional Trail applicable for the first 3 years from the date of registration of SIP

SIP's of min. 60 monthly instalments : Additional Trail applicable for the first 5 years from the date of registration of SIP

b) STP : All New STP's mobilized in "Campaign Funds", Other Equity Funds would qualify for additional 0.15% Trail

Terms and Conditions:

1. The brokerage structure is applicable for the period 01-Oct-2022 to 31-Dec-2022. Sundaram Asset Management Ltd. reserves right to change the brokerage rates / Incentives in the intermittent period in the event of Regulatory changes / Industry practices.
2. #Annualised Retention Incentive (ARI) refers to the quantum of additional trail brokerage payable on fresh mobilization in B30 cities. In the event of redemption / Switch-out from the fund within one year from the date of investment, the ARI paid to you will be completely recovered /clawed back /set off from any payment due to you. The ARI brokerage is payable as trail for one year from the date of investment.
3. T-30 refers to TOP 30 cities provided by AMFI / SEBI & B-30 refers to the cities beyond TOP-30 cities referred in AMFI / SEBI guidelines.
4. Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP) :W.e.f July 1, 2021, trail brokerage applicable on all New Registrations would be at the rate(s) prevailing on the date of unitisation of SIP / STP instalments (not at the rate(s) prevalent on the date of registration of SIP / STP)
5. In the event premature closure of SIP / STP, advance on New SIP/STP (if applicable) will be recovered from the subsequent pay-outs.
6. Sundaram Asset Management Company Limited reserves the right to change the brokerage / incentive without prior intimation.
7. The above compensation structure is inclusive of all applicable taxes and levies (if any). The brokerage payment is subject to receipt of statutory documents and filing (& not limited to) and invoices, within a reasonable period of time.
8. This letter supersedes any other incentive/ brokerage communication issued earlier for the above period.
9. AMC reserves right to make prospective changes to the fee structure including trail on existing assets. In the event of unavoidable reduction in expenses due to regulatory / other reasons, the perpetual trail may undergo change.
10. Switch between options of a fund won't be counted for additional incentive.
11. All mobilization which are not in line with AMFI / SEBI guidelines won't qualify for incentives.
12. Direct Plan (All Investments):
 - i) No brokerage is payable on purchases/ switches into direct plans.
 - ii) Switches from regular plan(s) into Direct Plan(s) will be subject to proportionate or complete claw back as per the guidelines governing claw back.

Looking forward to your support in our products,

Yours sincerely,

Sd/-

Sunil Subramaniam
Managing Director

Note : 'This is a computer generated document. No signature is required'