



**ARN-930 | ARN Name-Shree Sidvin Financial Services & Invest. Pvt. Ltd.**  
**October, 2023**

Scheme Name	T30 & B30 Cities		Exit Load
	1 <sup>st</sup> Year Trail (p.a.)	2 <sup>nd</sup> Year Onwards Trail (p.a.)	
SAMCO FLEXI CAP FUND	1.35%	1.35%	<ol style="list-style-type: none"><li>1. 2.00% if the investment is redeemed or switched out on or before 365 days from the date of allotment of units</li><li>2. 1.00% if the investment is redeemed or switched out after 365 days but on or before 730 days from date of allotment of units.</li><li>3. No Exit Load will be charged if investment is redeemed or switched out after 730 days from the date of allotment of units.</li></ol>
SAMCO ELSS TAX SAVER FUND	1.40%	1.40%	Not applicable
SAMCO OVERNIGHT FUND	0.20%	0.20%	Not applicable
SAMCO ACTIVE MOMENTUM FUND	1.40%	1.40%	<ol style="list-style-type: none"><li>1. 2.00% if the investment is redeemed or switched out on or before 365 days from the date of allotment of units.</li><li>2. No Exit Load will be charged if investment is redeemed or switched out after 365 days from the date of allotment of units.</li></ol>

For redemption/switch/STP/SWP within mentioned period from the date of allotment.

**#:The Commission Structure may be modified /Changed based on compliance with Distributable TER and / or SEBI/AMFI requirements.**

**Thanking you,  
Yours faithfully,**

**Sadath Ali Khan  
Head Investor and Distributor Services**

## TERMS & CONDITIONS

- Trail Commission: The Trail Commission is calculated on the basis of 'Daily Average Assets'. The amount payable to the distributor shall be paid in the following month.
- Commission/Incentive(s) if any will be paid on switches/systematic transfer from one scheme to another scheme as per the applicable structure. However in case of option change, Trail commission will continue in the target scheme as per the applicable structure.
- If total commission payable is less than Rs.100/-. Such commission will be paid in the subsequent payment cycle subject to commission amount exceeds Rs.100/-
- The aforesaid commission/incentive structures are based on the present expense ratio allowed by SEBI. Any change by SEBI in the expense ratio will entail a change in the aforesaid commission structure including commission structure prevailing for historical AUM. AMC reserves the right to change, withdraw and / or amend, the above mentioned terms and conditions without any prior notice.
- For change of broker code cases, payment of commission will be governed by the requirements of SEBI and / or AMFI from time to time.
- The SIP/STP the rate will be applied as per transaction processed date.
- The brokerage structure mentioned herein is solely payable to AMFI certified & KYD complied distributors empaneled with us.
- All distributors should abide by the code of conduct and rules/regulations laid down by SEBI & AMFI from time to time, the rules and regulations of SEBI /AMFI pertaining to brokerage payment to distributors will also be applicable for payment of the contest incentive, if any. The distributor shall adhere to SEBI circular dated 26th June, 2002 on code of conduct and ensure that no rebate is given to investors in any form.
- Kindly ensure to mention the **EUIN** along with **ARN code** in the Application Forms/ Transaction slips in the designated space. If the transaction is execution only, please arrange for the investor's signature in the designated space for EUIN declaration.
- As per AMFI circular ref. CIR/ ARN-13/11-12 dated October 14, 2011, the payment of commission (upfront/trail) accrued after the expiry of ARN is to be suspended till renewal of ARN. No commission shall be paid for new business procured during the suspended period of ARN. With effect from 1st Oct 2022, If the ARN is not renewed within 3 months of expiry, the entire commission accrued will be written off.
- Also commissions will be suspended if distributors do not furnish self-declaration certificate within 3 months of the end of the financial year. Kindly refer the circular for more details.
- All Brokerage rates are gross & inclusive of all statutory levies including Goods & Service Tax (GST), if any. Distribution commission payable by SAMCOMF to the distributor is inclusive of GST and distributor is required to pay GST in order to comply with GST laws and provide invoice respectively.
- As per SEBI regulations, you are not entitled to commission on your own investments. Please note that receiving commission on own investments will be violation of SEBI Regulations and you are required to immediately bring any such instances to our attention so that the same can be recovered immediately. No pass back, either directly or indirectly, shall be given by Distributors to the investors.
- As per SEBI letter Ref: SEBI/HO/IMD/DF2/OW/2019/4263/1 dated February 21, 2019 & SEBI/HO/IMD2/DoF4/OW/P/19402/2019 dated July, 30, 2019 stated that withhold the distribution commission for all investors of KYC non-complied. The commission may be released to the respective distributors only after ensuring such investors are KYC Complied.
- All non-individual MFDs must comply with the change of nomenclature requirements as advised by AMFI pursuant to amendments to SEBI (Investment Advisers) Regulations, failing which the AMC has the right to withhold any commission as per instructions from AMFI.

### Additional Terms & Conditions for B30 cities

- Wide SEBI circular SEBI/HO/IMD/DF2/CIR/P/2018/137 dated October 22, 2018, Additional TER can be charged based on inflows only from "Retail Investors" from B 30 cities Further, SEBI Circular dated March 25, 2019, SEBI has specified that inflows upto Rs. 2,00,000/- per transaction by individual investors shall be considered as inflows from "Retail Investor". For the purposes of charging additional TER inflows from retail investors from beyond top 30 cities (B-30 cities), AMFI has recommended to track and club multiple purchase transactions received on the same day from the same individual investor to prevent splitting of purchase transactions to circumvent the threshold limit of Rs. 2,00,000/- per transaction as is being done in respect of purchase transactions for determining the NAV applicability on the basis of realisation of funds.
- Top 30 cities provided by AMFI & Beyond these cities may call as "B-30". The, list of Top 30 cities are: AHMEDABAD, ALLAHABAD, BENGALURU, BHOPAL, BHUBANESHWAR, CHANDIGARH, CHENNAI, COIMBATORE, DEHRADUN, DELHI, GUWAHATI, HYDERABAD, INDORE, JAIPUR, JAMSHEDPUR, KANPUR, KOLKATA, LUCKNOW, MUMBAI, NAGPUR, NASHIK, PATNA, PUNE, RAJKOT, RANCHI, SURAT, UDAIPUR, VADODARA, VARANASI.
- B30 incentive will be payable on the applications procured from residents of all the cities beyond the Top 30 cities as per Pin code Master circulated by AMFI from time to time.
- Identifying and tagging of a transaction as B30 will be at the sole discretion of AMC and as per the guidelines and list of Pin codes arranged by SEBI and/or AMFI from time to time.
- Applications procured from eligible Non-Resident Individuals and Foreign Institutional Investors business will not be eligible for B-30 incentive.
- Recovery of B30 trail Paid: Recovery of additional trail paid to the distributor is being introduced in the following manner:
  - The additional trail paid to a distributor will be recovered in full from the distributor, if the investment (for which such additional trail was paid) is redeemed before the completion of one year (365 days) of such investment.
  - The Recovery will be made from the brokerage payable to the distributor for the month in which redemption has been made. E.g. If the redemption has been made in the month of July '20, the recovery will be made from the Brokerage payable for the month of July '20.
  - If, the brokerage payable to the distributor is insufficient to cover the recovery amount; the recovery will be tried for three consecutive months. In case the recovery is still not possible, a demand notice will be sent to the distributor asking him to refund the money to the SamcoMF. The distributor does not pay the money within 1 month from the date of the receipt of the notice, the AMC will approach AMFI or the resolution of the same or initiate the required corrective action including legal proceedings as it may deem fit.

**Recovery of the distribution commission will be at the ARN level and not scheme level.**



**ARN-930 | ARN Name-Shree Sidvin Financial Services & Invest. Pvt. Ltd.**  
**November, 2023**

Scheme Name	T30 & B30 Cities		Exit Load
	1 <sup>st</sup> Year Trail (p.a.)	2 <sup>nd</sup> Year Onwards Trail (p.a.)	
SAMCO FLEXI CAP FUND	1.35%	1.35%	<ol style="list-style-type: none"><li>1. 2.00% if the investment is redeemed or switched out on or before 365 days from the date of allotment of units</li><li>2. 1.00% if the investment is redeemed or switched out after 365 days but on or before 730 days from date of allotment of units.</li><li>3. No Exit Load will be charged if investment is redeemed or switched out after 730 days from the date of allotment of units.</li></ol>
SAMCO ELSS TAX SAVER FUND	1.40%	1.40%	Not applicable
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- The aforesaid commission/incentive structures are based on the present expense ratio allowed by SEBI. Any change by SEBI in the expense ratio will entail a change in the aforesaid commission structure including commission structure prevailing for historical AUM. AMC reserves the right to change, withdraw and / or amend, the above mentioned terms and conditions without any prior notice.
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- The SIP/STP the rate will be applied as per transaction processed date.
- The brokerage structure mentioned herein is solely payable to AMFI certified & KYD complied distributors empaneled with us.
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- B30 incentive will be payable on the applications procured from residents of all the cities beyond the Top 30 cities as per Pin code Master circulated by AMFI from time to time.
- Identifying and tagging of a transaction as B30 will be at the sole discretion of AMC and as per the guidelines and list of Pin codes arranged by SEBI and/or AMFI from time to time.
- Applications procured from eligible Non-Resident Individuals and Foreign Institutional Investors business will not be eligible for B-30 incentive.
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**December, 2023**

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